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**UNITED STATES** 

Washington, D.C. 20549

SECURITIES AND EXCHANGE COMMISSION

## OMB APPROVAL

OMB Number:

3235-0504

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## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM
Part I Initial Listing Report
<ol> <li>Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC</li> </ol>
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  Open End Management Investment Company  SEC Mail Company
3. Class of New Derivative Securities Product:  Exchange Traded Fund  UEC 1 U 2010
Exchange Traded Fund  4. Name of Underlying Instrument: S-Network Space Index  5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  Washington, DC
Broad-based
6. Ticker Symbol(s) of New Derivative Securities Product: UFO
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  ELECTRONIC SHARE MARKET, NYSE MKT LLC, ASX - ALL MARKETS, LONDON, NASDAQ, NEW YORK,  PARIS, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANG
8. Settlement Methodology of New Derivatives Product: Regular way trades settle on T + 2 (cash settled)
9. Position Limits of New Derivative Securities Product (if applicable): N/A  19004446
Part II Execution
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.
Name of Official Responsible for Form: William Slattery
Title: Vice President
Telephone Number: 1-301-978-8088
Manual Signature of Official Responsible for Form:    William   Act   Separation   Separation
Date: December 9, 2019  Section 19b-4
Rule 196-4(e)  Public Availability: DEC 1 0 2019